





EFSA

GRANTS & PROCUREMENTS



Understanding EFSA



✓ THE EUROPEAN FOOD SAFETY AUTHORITY (EFSA) IS AN EU
AGENCY RESPONSIBLE FOR ASSESSING AND COMMUNICATING
FOOD SAFETY RISKS

✓ EFSA OFFERS GRANTS AND PROCUREMENTS TO SUPPORT RESEARCH, EXPERTISE, AND PROJECTS RELATED TO FOOD SAFETY, NUTRITION, AND RELATED FIELDS



What to expect



> EXPLANATION HOW TO APPLY FOR GRANTS AND TENDERS OF THE EUROPEAN FOOD SAFETY AUTHORITY (EFSA).

> THE SESSION, WILL GUIDE YOU THROUGH THE PROCESS, REQUIREMENTS, AND TIPS FOR A SUCCESSFUL APPLICATION.



EFSA Funding Opportunities



- ✓ EFSA PROVIDES VARIOUS FUNDING OPPORTUNITIES, INCLUDING GRANTS AND PROCUREMENTS, TO SUPPORT RESEARCH, DATA COLLECTION, AND SCIENTIFIC EXPERTISE
- ✓ THESE OPPORTUNITIES COVER A WIDE RANGE OF TOPICS, FROM RISK ASSESSMENT TO DIETARY STUDIES



EFSA funding schemes



Procurement procedures

Grant procedures

Key differences between the two schemes

Information & how to apply



Infographics



EFSA procurement: why, what, HOW

EFSA buys services and supplies through public procurement in line with EU legislation and the basic principles of transparency, equal treatment and non-discrimination, widest competition, proportionality and sound financial management.

When tendering for EFSA procurement procedures, tenderers may bid alone as a single entity or in a joint offer with partners. All tenderers must have access to the EU market to be eligible for the award of EFSA contracts. Tenderers may also rely on the use of subcontractors in their offer. Subcontractors may be established in countries which do not have access to the EU market, unless specified differently in the individual call for tenders.

HOW ARE PROCUREMENT CONTRACTS AWARDED?

OPEN CALL FOR TENDERS

For purchases above 140,000 €.
 Deen to all economic operators with

EU market access.

- Published in EU-OJ with at least 37 days to submit offers.

NEGOTIATED PROCEDURE FOR TENDERS

- Below 15,000 €
 Invite at least 1
 Direct invitation with no advance publicity.
- ➤ Above 15,000 € and below 60,000 €
 Invite at least 3
 Advance publicity on EFSA website for expression of interest, then direct invitation.
- Above 60,000 € and below 140,000 € Invite at least 5 Advance publicity on EFSA website for

NEGOTIATED PROCEDURE WITH NO CALL FOR TENDERS

For purchases of any value, a contract may be awarded directly to an economic operator without the need of a call for tenders, in the circumstances described in para. 11.1 of Annex 1 of the EU Financial Regulation. Examples:

- Monopoly due to ownership / protection of exclusive rights.
- Extreme urgency due to

https://www.efsa.europa. eu/en/calls/procurement

PROCUREMENT







Procurement



- **EFSA Guidance for tenderers**
- Call for tenders published on EFSA website:
 - **PIN** (future calls €140K+)
 - **Open calls** (€140K+)
 - Negotiated procedures (€15k € 140K)





- Contract Notice in EU Official Journal
- > e-Tendering portal
- > #EFSAProcurement
- **EFSA** scientific cooperation plan
- Promotional activities for some calls: pre/post



EFSA Procurement



CALL FOR TENDERS

> Open calls (€140K+)

https://www.efsa.europa.eu/e n/procurement/tenders

Given the circumstances and the need to publish calls:

- **✓** Negotiation procedures
- ✓ Direct or framework contract

> Negotiated procedures (€15k - € 140K)

https://www.efsa.europa.eu/en/procurement/negproc

Below 15,000 €	Above 15000 € and below 60000 €	Above 60000 € and below 140000 €
Invite at least 1	Invite at least 3	Invite at least 5

Direct invitation with no advance publicity.

Advance publicity on EFSA website for expression of interest, then direct invitation.



Procurement



- > FUNDING: The amount of the contract is set out in the procurement documents
- DOCUMENTS REQUIRED FOR CONTRACT SIGNATURE:

 Judicial record, tax certificate, social security payments, evidence of turnover, institutional DOIS, individual DOIS for some project team members
- Contract negotiation: draft contract published with call. Negotiation of T&Cs not generally possible, any concerns about T&Cs should be raised during clarification stage before offer submission

Call for tenders' details	9			
Title: Contracting authority: TED publication date: Time limit for receipt of tend	ers:		nd Representation for L Safety Authority (EFSA) Status:	
Data Document Librar Show Filter Parameters Download all available docum		estions & answers		
Results per page: 10 25 50 3 Documents found, displaying		•		
Lot $\frac{\Delta}{\gamma}$				Tit
	OC Invitat	tion to tender EFSA 20	23 OP 0004 - en	
	Tender Sp	ecifications-EFSA-202	23-OP-0004 - en	
	EN FWC	Draft Service Contract	EFSA-2023-OP-0004 -	. en



Open call for tenders



FOR PURCHASES WITH A VALUE ABOVE €140,000 EFSA USES THE OPEN CALL FOR TENDERS PROCEDURE

- Calls for tenders above €140,000 are published on the EFSA website https://www.Efsa.Europa.Eu/en/procurement/tenders (following publication in the *tenders electronic daily (TED)* database,)
- Links of open calls to the <u>e-tendering platform</u>, with all the procurement instructions and forms related to that specific call (invitation letter, tender specifications, draft contract and any templates necessary for offer submission)
- > Open to all economic operators with EU market access.
- Published in EU official journal- offering at least 37 days to submit offers.





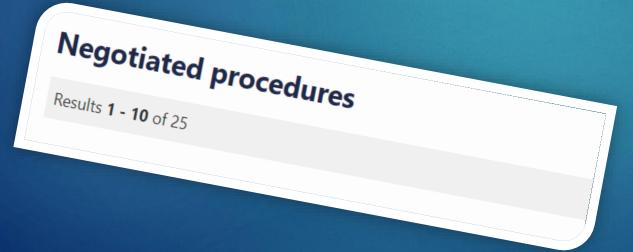


Negotiated procedure for tenders



- Calls for tenders above €15,000 to €140,000 are advertised in advance of their launch on the EFSA website.
- > 2023 efsa scientific cooperation plan: calls for tenders (procurement) and calls for proposals (grants) with the indicative title, budget and timing for launch are advertised on the EFSA website. However, this information is indicative and does not constitute an obligation on EFSA to launch any given procedure.







Nature of contractual relationship resulting from contract award



Direct Contract

- ➤ In direct contracts the subject, remuneration and length of implementation of the contract are defined at the outset.
- ➤ Once signed, they can be directly implemented without any further formalities or contract procedures.



Nature of contractual relationship resulting from contract award



Framework Contract

✓ A single framework contract is a contract concluded with only one economic operator, detailing the basic terms for a series of specific contracts/order forms to be concluded over a given period, particularly regarding the duration, subject, price and implementation modalities.



Nature of contractual relationship resulting from contract award



Framework Contract

- ✓ Multiple framework contracts in cascade with a number of contractors. A system of priority would be established whereby specific contracts or order forms would be placed with the first priority contractor. If the goods and/or services are unavailable from the first priority contractor, the specific contract or order forms would then be placed with the second priority contractor and so on.
- ✓ Multiple framework contracts with reopening competition, with a number of contractors where not all the terms are laid down in the framework contract and without a system of priority being established among the contractors. EFSA shall reopen competition and ask all the contractors to compete on the basis of more precisely formulated terms. Any resulting specific contract will however be based on the multiple framework contract and will not deviate from it so much that it may be considered to be entirely different from the multiple framework contract.



Who owns contract deliverables?



EFSA

EFSA owns contract deliverables with exception of those subject to pre- existing intellectual property rights.

EFSA can make use of the contract deliverables which it owns, without consulting the contractor.

CONTRACTOR

Owner only of parts of deliverables subject to preexisting intellectual property rights.

If the contractor wants to make use of or publish contract deliverables owned by EFSA, they must request permission from EFSA in advance.



Procurement Vs Grant



PROCUREMENT	GRANT
Open to economic operators with acces to EU market.	Only organisation on the Art 36 list can aplly.
Apply as single tenderer or joint offer with parteners (all with acces to eu market).	EU Member States + Norway and Iceland.
Aplly as single tenderer involving subcontractors.	Aplly as single organisation or in consortium with other Art 36 organisations.
No limitation imposed on the composition of your offer (single, joint offer, subcontracting).	Subcontracting is permitted for non-core tasks to organisations not on Art 36 list.



Difference between procurement &



Grants

PROCUREMENT		GRANTS
Buy something (goods/service)	Purpose	Give a financial contribution
EFSA	Ownership	Beneficiary
Agreed price allowing profit	Payment	Share of costs, no-profit*
Transparency, equal treatment, proportionality, non-discrimination	Principles	Transparency, equal treatment, non- cumulative, non-retroactive, co- financing, no-profit*
Call for tenders	Procedures	Call for proposals
Direct or Framework Contract	Legal Form	Direct Agreement or Framework Partnership Agreement
Anybody with access to EU market	Participants	Only Art. 36 organisations

^{*}not applicable for Financing not linked to costs



EFSA Grants



EFSA grants: why, what, HOW

EFSA may award grants to organisations which are designated by their Member State to assist EFSA with its mission and which are included on the Article 36 list of Competent Organisations, The list of eligible organisations to whom EFSA grants may be awarded is updated regularly and details of how new organisations can be included on the list is available at https://www.efsa.europa.eu/en/partnersnetworks/scorg.

When applying for EFSA grants, organisations may apply alone or in consortium with other Article 36 organisations, unless specified differently in the call for proposals. Applications may also involve some subcontracting, including with non-Art.36 organisations under certain conditions specified in the call

WHAT TASKS ARE ELIGIBLE?

Only tasks defined in Article 4 Regulation (EC) No 2230/2004 and Article 1 point 5e of Regulation (EU) 2019/1381 can be entrusted to organisations via a grant agreement to assist EFSA with its mission.

RISK ASSESSMENT SUPPORT

- ▶ Data delivery collection/production
- Support in RA methodologies update/creation
- Preparatory work leading to drafting EFSA Scientific Opinion

Previous correlation: SPECIFIC GRANTS; TASKING GRANTS

ANY VALUE

Given the circumstances and the need to publish calls:

CAPACITY BUILDING

Previous correlation: PARTNERING; FELLOWSHIP; THEMATIC; FOCAL POINT GRANTS

▶ Dissemination of best practices

Create new scientific knowledge

Information exchange

Knowledge sharing

- ✓ "Monopoly" procedure with direct invitation
- ✓ Direct agreement or framework partnership agreement



METHODS OF FUNDING

A higher rate of funding (co-financing) is given for tasks directly supporting risk assessment compared to a lower rate of funding for projects ideated by the beneficiary. Co-financing may be provided up to a maximum of 99% of the total eligible costs. Alternatively, grants using "Financing not linked to costs" may cover all project costs and may even result in a profit to the beneficiary. Sorted below by ease of processing









HOW IS THE GRANT AWARDED?

OPEN CALL FOR PROPOSALS

Open to all article 36 organisations which meet the requirements in the call

NO CALL FOR PROPOSALS

- Exceptional and duly substantiated emergencies;
- Organisation with a de jure or de facto monopoly;
- ► For activities with specific characteristics requiring a particular type of organisation due to its technical competence, high degree of specialisation or administrative powers.

TYPE OF GRANT AGREEMENT

PARTNERSHIP **AGREEMENT**



Exact deliverables & timing NOT known

➤ Single - One FPA signed with one beneficiary (individual organisation or consortium).

Cascade - FPA's signed in order of ranking with different beneficiaries.

Exact deliverables & timing known

AGREEMENTS Exact deliverable timing known

Exact deliverables &



Type and form of Grants



HOW IS THE GRANT AWARDED?

OPEN CALL FOR PROPOSALS



 Open to all article 36 organisations which meet the requirements in the call for proposals.

NO CALL FOR PROPOSALS

- Exceptional and duly substantiated emergencies;
- Organisation with a de jure or de facto monopoly;
- For activities with specific characteristics requiring a particular type of organisation due to its technical competence, high degree of specialisation or administrative powers.

TYPE OF GRANT AGREEMENT

FRAMEWORK PARTNERSHIP AGREEMENT



Exact deliverables & timing NOT known

- ► Single One FPA signed with one beneficiary (individual organisation or consortium).
- Cascade FPA's signed in order of ranking with different beneficiaries.

DIRECT AGREEMENT



Exact deliverables & timing known

SPECIFIC AGREEMENTS

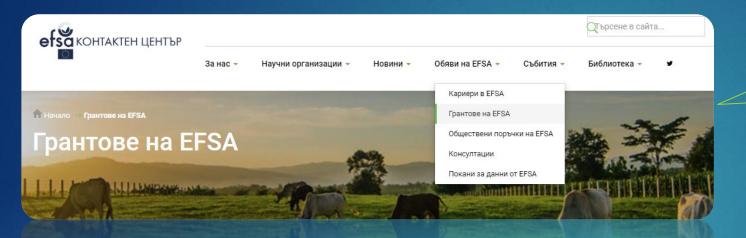


Exact deliverables & timing known



Where to find information on Grant funding





Grants section:

Call for proposals published on Bulgarian Focalpoint website

UPDATE 01/02/2023

2023 EFSA Scientific Cooperation plan - grants and procurement

the list is indicative and subject to changes FSA calls for proposals are open to organisations in the Article 36 lis



- Call for proposals published on EFSA website
- **EU funding & tenders portal 2023**
- Focal points inform all article 36 organizations directly via email
- > EFSA scientific cooperation plan
- > Promotional activities for some calls: pre/post launch info sessions; market research

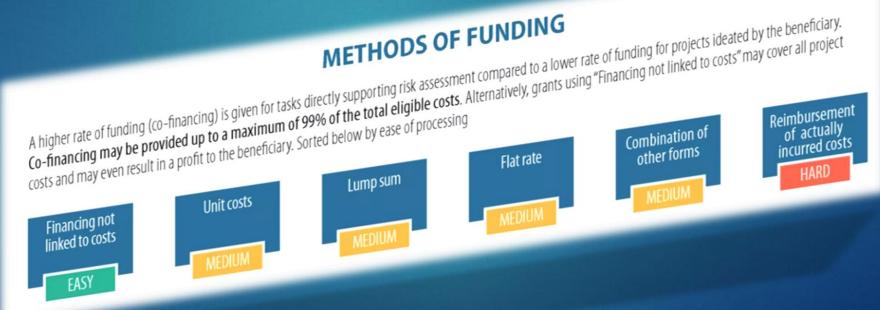




Grants



✓ FUNDING: The maximum amount of the grant and the cofinancing percentage are set out in the call documents





Grants



Documents required for grant signature: individual DOIS for some project team members

Grant negotiation: draft grant agreement published with call. Negotiation of T&CS not generally possible, any concerns about T&CS should be raised during clarification stage before proposal submission

• Declaration on honour on exclusion criteria and selection criteria Administrative data for grant applications including Legal entity form and • Institutional Declaration of interest form w • Individual Declaration of interest form w • Template for the external scientific report w • Simplified financial statement (2)



Who Can Apply?



https://www.efsa.europa.eu/en/calls/art36grants

- ✓ **Applicants** can be organizations, or institutions, but they must be legal entities based in EU member states or associated countries.
- ✓ Ensure your organization meets all eligibility criteria outlined in the specific call for proposals.

✓ **Grants** – only for art.36 organizations - https://efsa.My.Site.Com/competentorganisations/s/

Only competent organisations (?), based on de eligible to apply for a call.



Art.36 organisations



- > EFSA grants can only be awarded to competent organisations designated by member states
- > Currently 323 organisations included on article 36 list. Variety of organisations active in fields of EFSA'S mission, including universities, research institutes, other governmental or public bodies.
- ➤ Eligibility criteria for inclusion on list are set out in <u>Regulation (EC) no 2230/2004</u>: mission, public interest, scientific expertise, operational capacity
- > Role of focal points: interface between EFSA and competent organisations at national member state level
- List of national focal points -> https://www.Efsa.Europa.Eu/en/partnersnetworks/eumembers#focal-points-eu-food-safety-interfaces
- > Full art. 36 list -> https://www.Efsa.Europa.Eu/en/partnersnetworks/scorg
- > Bulgarian focal point website https://focalpointbg.com/
- > List of Bulgarian art. 36 organizations <u>art. 36 organizations in Bulgaria</u>

efsa FOCAL POINT

Grants Application Process



- > Review the call documentation thoroughly, paying attention to deadlines, guidelines, and evaluation criteria.
- Prepare a detailed proposal addressing all required elements, including objectives, methodology, budget, and timelines.
- > List of ongoing Grants on EFSA'S webpage https://www.efsa.europa.eu/en/calls/art36grants
- > Specific requirements for each grant described in "call for proposal" documentation (individual or consortium)





Grants Application Process



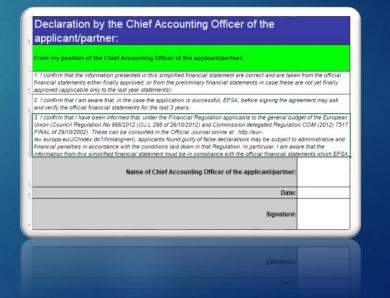
Estimated budget calculation

EFSA templates for budget calculation

Estimated budget

4		D	L						
	Information on financial capacity of the applicant and if applicable in case of								
1	consortia for each partner								
	The numbers in the below cells must be indicated in <u>ABSOLUTE VALUES</u> (i.e. as full amounts and not in thousands or similar) and in EUROS								
2	similar) and in EUROS								
	The <u>exchange rate</u> to be used for the conversion of the amounts should be the monthly accounting rate of the last month of the financial year as published in the Official Journal of the European Union:								
3	http://ec.europa.eu/budget/inforeuro/index.cfm?fuseaction=home&Language=en								
4	Name:								
5	CALL reference:								
7	Type of body: private / semi-pub (please indicate): Begin and end of the financial year (eg: 1/1/n - 31/12/n):								
	Asset	2021	2020						
9	Long term assets (assets convertible in cash in > than 1 year)	€ 0	€0						
10	Short term assets (current assets convertible in cash in =/< than 1 year)	€0	€0						
11	Total assets (TOTAL ASSETS SHOULD EQUAL TOTAL LIABILITIES)		€0						
12	Liabilities	2021	2020						
13	Own capital (Equity)	€0	€0						
14	Long term debts (to be repaid in > than 1 year)	€0	€0						
15	Short term debts (to be repaid in =/< than 1 year)	€0	€0						
16	Total liabilities (TOTAL LIABILITIES SHOULD EQUAL TOTAL ASSETS)	€0	€0						
17	Profit and loss account data	2021	2020						
18	Turnover (sales revenue realized from the day-to-day operations of the entity)	€0	€0						
19	Turnover in the filed of the call for proposals	€0	€0						
20	Depreciation & amortisation	€0	€0						
21	EBITDA (Earnings before interest, taxes, amortisation, depreciation)	€0	€0						
22	Net profit (Earnings after interest, taxes, amortisation, depreciation)	€ 0	€0						
23	Main indicators	2021	2020						
24	Operational profit margin (EBITDA/turnover)								
25	Return on Equity: Rentability of own capital (net profit/own capital)								
26	Net working capital (current assets - current liabilities)								
27	Current ratio (current assets/current liabilities)								
	Dobt satio_indobtages (dobto/total Schillian)								

Simplified estimated budget form—less data included in the form, easy for calculation and for simplified grant procedures





Financing and payments



- > Maximum amount of the grant published in the grant documentation
- > Pre-financing and interim payments the amount of pre-financing payment will be set out in each specific agreement and subject to budget availability of the authority.
- > Subcontracting described in documentation if subcontracting is allowed or not
- > Final payment up to 1 month after the final report is approved and published
- > Personnel timesheets individual working hours template form for reporting and calculation of staff payment



Project Implementation



- > Execute the project according to the agreed timeline and budget.
- Keep EFSA informed of any significant developments or deviations from the original proposal.
- Simplified person reporting process timesheets usually used for personal tasks reporting
- Grant deliverables reports
 - Interim report depending on grant continuance interim reports are on every 3 or 6 months
 - Final report



How to apply



Procurement

- ✓ **Application** either via email or e-submission depending on procedure type
- ✓ **Timescales to apply** varies from procedure to procedure but there are some minimum timescales set in law
- ✓ Evaluation carried out by EFSA staff and provide detailed feedback to all tenderers on their offer
- ✓ Evaluation Criteria exclusion; selection (experience); quality; price



HOW TO APPLY



Grants

- ✓ **Application** submitted in original, hard copy via post (e-submission from Q2 2023)
- **✓ Timescales** to apply varies from procedure to procedure
- ✓ Evaluation carried out by EFSA staff and provide detailed feedback to all applicants on their proposals
- **✓ Evaluation Criteria** exclusion; selection (*experience*); quality



CONTRACT/GRANT AWARD



Procurement

- ✓ **Funding:** the amount of the contract is set out in the procurement documents
- ✓ Documents required for contract signature: judicial record, tax certificate, social security payments, evidence of turnover, institutional DoIs, individual DoIs for some project team members
- ✓ Contract negotiation: draft contract published with call. Negotiation of T&Cs not generally possible, any concerns about T&Cs should be raised during clarification stage before offer submission

Grants

- ✓ Funding: the maximum amount of the grant is set out in the call documents
- ✓ Documents required for grant signature: individual DoIs for some project team members
- ✓ Grant negotiation: draft grant agreement published with call. Negotiation of T&Cs not generally possible, any concerns about T&Cs should be raised during clarification stage before proposal submission



The experience of RACFC



3 grants awarded by EFSA as art.36 organization

❖ Implementation of the 'electronic transmission of chemical occurrence data' in Bulgaria - *GP/EFSA/DCM/2012/01*

Specific competent vectors identification with the view of multiannual monitoring of some exotic vector-borne diseases in Bulgaria with modern molecular-biological tools - *GP/EFSA/ENCO/2018/04*

❖ Annual workshop for crisis preparedness - *GP/EFSA/KNOW/2022/01TITLE*



Implementation of the 'Electronic Transmission of Chemical Occurrence Data' (GP/EFSA/DCM/2012/01) in Bulgaria



- **✓ The pilot grant**
- ✓ The project has been granted to the RACFC in accordance with the requirements of article 36 of the regulation EC no 178/2002.

TWO MAIN OBJECTIVES:

- ✓ 1. To build up a national chemical occurrence database for chemical compounds occurring in food and feed according to standard sample description. NCODB will be operated and managed by RAC and all the collected data once provided will be stored there
- ✓ 2. To implement and test an electronic system for extracting these data in format according to EFSA'S requirements and transmit the stored data to EFSA

RESULTS





- The main effort was concentrated into the development of the national database for collecting and managing chemical contaminants data
- **Software** with a great flexibility
- **Organization** and conduct of series of trainings
- Organization of regular meetings with all project participants in order to properly eliminate and respond to the shortcomings found out during the project implementation
- * Successfully performed teleconference meeting on 29-th of may 2013 between RAC and EFSA'S DCM unit experts for clarifying and properly responding to EFSA'S recommendations on D1 document
- **❖ D1 and D2 documents** (technical and financial reports) approved and accepted by EFSA
- **Coding and mapping** of NCODB fields are identical with the codes implemented from SSD DB (directly from STANDARDSAMLEDESCRIPTION.XLS)
- No need of transformation or mapping tables to support standard terminology presented in SSD
- Business and validation rules from the guidance of EFSA (guidance of data exchange) are successfully implemented



Specific competent vectors identification with the view of multiannual monitoring of some exotic vector-borne diseases in Bulgaria with modern molecular-biological tools GP/EFSA/ENCO/2018/04



EFSA awarded a grant to RACFC under the terms and conditions set out in the special conditions, the general conditions and the other annexes to the agreement

THE MAIN GOAL

➤ To accept advanced molecular biological tools in face of DNA barcoding technology for vector identification and to avoid the limits of conventional morphological/entomological methods in the existing vector surveillance programs for *culicoides*, mosquitoes and *phlebotomides*



Specific competent vectors identification with the view of multiannual monitoring of some exotic vector-borne diseases in Bulgaria with modern molecular-biological tools GP/EFSA/ENCO/2018/04



- > trained Bulgarian experts in identification of different vector species by modern technologies for vector identification
 - produced data
 (vector surveillance records recognized as DNA BARCODE on vectors)

>upgraded laboratories for using molecular DNA barcoding technology



Specific competent vectors identification with the view of multiannual monitoring of some exotic vector-borne diseases in Bulgaria with modern molecular-biological tools GP/EFSA/ENCO/2018/04



PROJECT OUTPUTS

- > developed Bulgarian central database with data comparable with EURL data base
 - > established base for implementation of development and information exchange projects, sharing data and knowledge in future
 - > improved capacity to performing a better risk assessment



Annual workshop for crisis preparedness GP/EFSA/KNOW/2022/01TITLE



The workshop was awarded to RACFC and was attended by participants from 5 European union (EU) member states (MSS) and 2 EU candidate countries

- ✓ Improve how and when collaboration takes place during a chemical contamination crisis response
- ✓ Ensure everyone understands his/her role and of others in a chemical contamination crisis response
- **✓ Understand** the purpose of selected tools and how to use them
- **✓ Practice** risk communication



Annual workshop for crisis preparedness GP/EFSA/KNOW/2022/01TITLE



Main activity: organizing crisis preparedness training by EFSA and RACFC;

- **✓** Roles and participants:
- ✓ Trainees/"game players" 21 participants from 7 countries: Austria, Hungary, Croatia, Romania, Serbia, North Macedonia and Bulgaria
- ✓ Expertise: risk assessment, risk management and risk communication
- ✓ Lecturers: EFSA, EC DG SANTE, medical university of Sofia, Bulgarian central laboratory for veterinary expertise and ecology /CLVEE



EFSA G&P on YouTube



EFSA'S SCIENTIFIC PROCUREMENT AND GRANT SCHEMES

HTTPS://WWW.YOUTUBE.COM/WATCH?V=M3JXWR6QSGW





Now it's your

turn to apply and

succeed







THANK YOU FOR ATTENTION!

Emil Simeonov
Risk assessment center on food chain eisimeonov@mzh.government.bg